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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 02811-0152 (42368-298888)

Inventors Nigel C. Phillips and Mario C. Fillion

Title Chemotherapeutic Composition and Method

Express Mail Label No. EV 041614119 US

U.S. PTO  
10/8/06 621

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
- Applicant claims small entity status.  
*See 37 CFR 1.27.*
- Specification [Total Pages 21]  
*(preferred arrangement set forth below)*
  - Descriptive title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (*if filed*)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets 9]
- Oath or Declaration [Total Pages 2]
  - Newly executed (original or copy)
  - Copy from a prior application (37 CFR 1.63 (d))  
*(for a continuation/divisional with Box 18 completed)*
- Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Commissioner for Patents  
Mailstop Patent Application  
P.O. Box 1450  
Alexandria, VA 22313-1450

- CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)
- Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all necessary*)
  - Computer Readable Form (CRF)
  - Specification Sequence Listing on:
    - CD-ROM or CD-R (2 copies); or
    - paper
  - Statements verifying identity of above copies

## ACCOMPANYING APPLICATIONS PARTS

- Assignment:
  - Assignment Papers (cover sheet & document(s))
  - Assignment is of record in parent application No. 09/857,332
- 37 C.F.R. §3.73(b) Statement  Power of (when there is an assignee) Attorney
- English Translation Document (*if applicable*)
- Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
- Preliminary Amendment
- Return Receipt Postcard (MPEP 503) (*Should be specifically itemized*)
- Certified Copy of Priority Document(s) (*if foreign priority is claimed*)
- Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- Other: Preliminary Amendment.

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation       Divisional       Continuation-in-part (CIP)

of prior application No: 09/857,332

Prior application information: Examiner J. Eric Angell

Group / Art Unit: 1635

Recite complete dependency back to first parent application: This application is a continuation of U.S. Patent Application Serial No. 09/857,332, filed June 4, 2001, which claims priority to PCT/CA99/01157 filed December 3, 1999, which claims priority to U.S. Provisional Application Serial No. 60/111,019 filed December 4, 1998 and to U.S. Provisional Application Serial No. 60/127,320 filed April 1, 1999.

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

Customer Number

23370

Name (Print/Type)	Elena S. Polovnikova, Ph.D.	Registration No. (Attorney/Agent)	52,130
Signature	<i>Elena S. Polovnikova</i>	Date	March 23, 2004

**FEE TRANSMITTAL**

Attorney Docket No. 02811-0152 (42368-298888)



This sheet accompanies a patent application transmittal for the following application:

Inventor(s): **Nigel C. Phillips and Mario C. Fillion**  
Filing Date: **March 23, 2004**  
Title: **Chemotherapeutic Composition and Method**

The filing fee is calculated as shown below:

**1. FILING FEE:**

FOR:	SMALL ENTITY		LARGE ENTITY	
	FEE	FEE PAID	FEE	FEE PAID
<input checked="" type="checkbox"/> UTILITY FILING FEE	\$385		\$770	770
<input type="checkbox"/> DESIGN FILING FEE	\$170		\$340	
<input type="checkbox"/> PLANT FILING FEE	\$265		\$530	
<input type="checkbox"/> REISSUE FILING FEE	\$385		\$770	
<input type="checkbox"/> PROVISIONAL FILING FEE	\$80		\$160	
	SUBTOTAL (1)	\$		\$770

**2. CLAIMS:**

FOR:	SMALL ENTITY		LARGE ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEES
TOTAL CLAIMS	2 - 20 =		x 9 =	x 18 =
INDEP. CLAIMS	2 - 3 =		x 43 =	x 86 =
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+145 =	+290 =
	SUBTOTAL (2)		\$	\$0

**3. ADDITIONAL FEES:**

FOR:	SMALL ENTITY		LARGE ENTITY	
	FEE	FEE PAID	FEE	FEE PAID
<input type="checkbox"/> LATE FILING, FEE OR OATH	\$65		\$130	
<input type="checkbox"/> NON-ENGLISH SPECIFICATION	\$130		\$130	
<input type="checkbox"/> OTHER				
	SUBTOTAL (3)	\$		\$0

**TOTAL FILING FEES: \$770.00**A check is enclosed for the total amount: **\$770.00**

- Charge any additional fees required under 37 C.F.R. 1.16 or 1.17 to Deposit Account 11-0855.

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Date: March 23, 2004